## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ushio *et al.*Application No. 09/881.445

Filed: June 13, 2001

For: LAYER-THICKNESS DETECTION METHODS AND APPARATUS FOR WAFERS AND THE LIKE, AND POLISHING

APPARATUS

Examiner: Not yet assigned

Date: August 6, 2003

Art Unit: 2818

Confirmation No. 7027

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on August 6, 2003 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450,

ALEXANDRIA, VA 22313-1450.

Donald L. Stephens Jr., Esq Attorney for Applicants

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and non-English-language documents. English translations of at least part of the respective texts have been provided for most of the non-English-language documents, except where the non-English-language document corresponds to an U.S. patent cited herein and provided herewith (as indicated on accompanying form PTO-1449). One non-English document (JP56-153207) has an English abstract, as noted on the form PTO-1449. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in § 1.491 in an international application, before the mailing date of a first Office action on the merits, or before the mailing of a first Office action after the filing of request for continued examination under § 1.114. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please see the attached Transmittal Letter for Deposit Account authority.

The filing of this IDS shall not be construed to be an admission by Applicants or the undersigned that the information cited in this statement is, or is considered to be, prior art or otherwise material to patentability as defined in Rule 56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

Donald L. Stephens Jr. Registration No. 34,022

One World Trade Center, Suite 1600

121 S.W. Salmon Street Portland, Oregon 97204 Telephone: (503) 226-7391

Facsimile: (503) 228-9446

# INEGENATION DISCLOSURE STATEMENT BY APPLICANT

BY APPLICANT

Attorney Docket Number	4641-59261
Application Number	09/881,445
Filing Date	June 13, 2001
First Named Inventor	Ushio
Art Unit	2818
Examiner Name	Not yet assigned

## U.S. PATENT DOCUMENTS

Examiner's Initials*	Cite No. (optional)	Number	Date	Name
		4,645,349	Feb. 24, 1987	Tabata
		4,744,660	May 17, 1988	Noguchi et al.
		5,696, 583	Dec. 9, 1997	Yoon
		5,893,796	Apr. 13, 1999	Birang et al.
		5,964,643	Oct. 12, 1999	Birang et al.
		6,045,439	Apr. 4, 2000	Birang et al.
		6,280,290 B1	Aug. 28, 2001	Birang et al.
		US2001/0036805 A1	Nov. 1, 2001	Birang et al.
		6,537,133 B1	Mar. 25, 2003	Birang et al.

## FOREIGN PATENT DOCUMENTS

Examiner's Initials*	Cite No. (optional)	Number	Date	Country
		56-153207	Nov. 27, 1981	Japan (w/English abstract)
		60-305	Jan. 5, 1985	Japan (w/English translation of relevant part)
		61-76904 /	April 19, 1986	Japan (corresponds to US 4,645,349)
		61-235708	Oct. 21, 1986	Japan (corresponds to US 4,744,660)
		63-50703	March 3, 1988	Japan (w/English translation of relevant part)
		64-57107	March 3, 1989	Japan (w/English translation of relevant part)
		6-147838	May 27, 1994	Japan (w/English translation)

	ATE ONSIDERED:
--	-------------------

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

				Attorn	ey Docket Number	4641-59261
				Applic	ation Number	09/881,445
INFOR	MATION	DISCLOSURE STAT	EMENT	Filing I	Date	June 13, 2001
12.		Y APPLICANT		First N	amed Inventor	Ushio
AUG 0 8 2	nm S			Art Un	it	2818
AUBUU				Exami	ner Name	Not yet assigned
TRADE	MARINO	6-252113	Sept. 9, 199	94	Japan (w/English	translation)
		8-145631	June 7, 199	6	Japan (correspond	ls to US 5,696,583)
		9-7985	Jan. 10, 19	97	Japan (correspond	ls to US 6,537,133)
		EP 0824995 A1	Feb. 25, 19	98	EPC	
		EP 0738561 B1	Jan. 23, 20	)2	EPC	
Examiner's Initials*	Cite No. (optional)	O.	THER DOC	UMEN	TS	5 <u>5</u> 5
		Ushio et al., "In-situ mo	onitoring of (	MP pro	cess utilizing 0-ord	er spectrometry,"
		CMP-IMC Conference,	pp. 23-29, F	eb. 11-1	2, 1999	
		Ushio et al., "In-situ mo				er spectrometry,"
		IMIC Journal on CMP,	Vol. 1, No. 1	, Fall, 1	999	

EXAMINER DATE SIGNATURE: CONSIDERED:	
--------------------------------------	--

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.